WADOC and WSIRB: An Overview of Processes and Requirements

VASILIKI GEORGOULAS-SHERRY, PH.D (WADOC)
LILLY MONEER, M.R.A., C.I.P. (WSIRB)

MAY 2, 2019
• Request Prioritization
• Priority Topics
• Research Process
• Grant Partnerships
• Human Subject Research
• Questions
REQUEST PRIORITIZATION

- Executive Order and/or legislation,
- Alignment with WADOC
- Partnerships with mutual benefit
- Requestor's experience
- Available resources
**Objectives:**
- Decrease the rate of violence from 0.93 to 0.90 per 100 incarcerated individuals at prison and work release facilities by 2022.
  - Program Evaluation:
    - DOC Aggression Replacement Training (DOCART)
    - Operation Place Safety
    - Nature Imagery Program

- Increase the rate of supervised individuals reporting from 78% to 80% by 2023.
  - Optimizing community supervision contact standards (home/field visits, text notifications, electronic monitoring)
• Objectives:
  ◦ Decrease the first-year rate of return to institutions from 12% to 10% by 2023.
    ◦ Program Evaluation:
      ◦ Moving On & Beyond Violence
      ◦ Thinking for a Change
      ◦ Department of Natural Resources (DNR) Fire Crew
  ◦ Increase the rate of continuity of care plans by 40% by 2023 for incarcerated individuals diagnosed with a substance use disorder, mental health, and/or chronic care condition.
    ◦ Program Evaluation:
      ◦ Enrollment and utilization of Medicaid
      ◦ Application of Affordable Care Act (ACA) in the community
Objective:
- Provide full and partial confinement options within 100% of capacity by 2023.
  - Recommendations and revised practices to hearings
  - Program Evaluation:
    - Swift and Certain (SAC)
    - Drug Offender Sentencing Alternative (DOSA)
Objective:
- Increase the Equity, Diversity, Inclusion, and Respect (EDIR) index from 61% to 65% by 2023.
  - Hiring, training, and retention practices
  - Staff safety, wellness, and job satisfaction
  - Caseload model for prison and Community Corrections Division (CCD)

Mission: To improve public safety

Vision: Working together for safe communities

Strategic Goals: Engaged & Respected Employees
The Washington Department of Corrections (DOC) supports and conducts research activities relevant to its programs, services, operations, mission, and strategic goals.

- All research must comply with state and federal guidelines, be reviewed and receive approval from two or more of the following:
  - Research and Data Analytics (RDA), and/or
  - Washington State Institutional Review Board (WSIRB), and/or
  - Research Review Committee (RRC)
RESEARCH PROCESS

The Washington Department of Corrections (DOC) supports and conducts research activities relevant to its programs, services, operations, mission, and strategic goals.

• Step 1: Submit Project Request Form to RDA
  ◦ All research should be scientifically sound and include:
    ◦ Well-defined scope
    ◦ Concise research questions
    ◦ Rigorous methodology
    ◦ Specific data variables requested
    ◦ Realistic timeline
    ◦ Proposed deliverables

• Note:
  ◦ Please consider the length of time a review may take when submitting your proposal.
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- Step 2: Submit Electronic Application to WSIRB
- Step 3: Submit all WSIRB materials to RDA
RESEARCH PROCESS

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Step 4: Create Data Sharing Agreement (DSA) and/or Memorandum of Understanding (MOU)

- DSA: Data variable specified
- MOU: Research activities and protocols specified
- Notes: Allow a minimum of 2 months.

All timelines are based on available resources & complexity of request.
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- Step 5: Conduct Research Activities
- Step 6: Provide Project Updates
- Step 7: Provide Deliverables to RDA for Review
- Step 8: Close out DSA and/or MOU, and WSIRB
GRANT PARTNERSHIPS

The department encourages grant seeking (i.e., non-state funds received by the department that are not appropriated by the Legislature or received as a cost reimbursement) or other external funding, aligned with the department's mission and strategic goals.

- Coordinate with WADOC:
  - Minimum of 60-90 days in advance of the proposal deadline
  - Grants involving research should build in:
    - Costs for WSIRB approval and required department resources
    - Time needed at the frontend for WSIRB/DOC approvals, associated agreements (MOU/DSA)
    - Other work required to support the grant
• 45 CFR 46

• Research with incarcerated individuals rarely is exempt.
• Individuals may volunteer but not compensated for participating.

• Individuals may refuse to participate in research at any time.

• Human subjects research does not constitute only incarcerated individuals.
DOC and WSIRB Overview for UW

Vasiliki “Bessie” Georgoulas-Sherry, Ph.D.
Washington State Department of Corrections

Lilly Moneer, M.R.A., C.I.P.
Washington State Institutional Review Board
May 2, 2019
IRB for a number of different Washington state agencies:

* Department of Children, Youth, and Families (DCYF),
* Department of Health (DOH),
* Department of Corrections (DOC),
* Department of Social and Health Services (DSHS),
* Health Care Authority (HCA),
* Department of Labor & Industries (L&I), and
* Office of Financial Management (OFM).
The WSIRB is responsible for providing the requisite regulatory review, approval and oversight of research that may involve these state agencies’ clients, beneficiaries, patients, wards and state agency employees or these individuals’ state agency personal records, in order to ensure the protection of the rights and welfare of human subjects of research.

Jurisdiction of WSIRB
As the human subjects WSIRB protect are generally clients of state agencies there are some state protections that exceed federal protections.

**Top 4 differences:**

* Researchers who wish to contact human subjects through state agency records, the agency must make first contact
* Records released for research purposes require an Appendix G approval prior to approval of the research.
* Require agency administrative approval after IRB approval
* Records released for research purposes require a legally-binding Confidentiality Agreement IAW RCW 42.48

**Differences with WSIRB**
UW IRB (and other IRBs) can cede review to WSIRB

WSIRB cannot cede review to UWIRB

IAW RCW 42.48

UW IRB and WSIRB can enter into a split agreement if the project has already been reviewed by UW IRB. WSIRB will only review the portion in our jurisdiction.
WSIRB now uses and electronic protocol management system.

Researcher User Manual and Link to IRBManager: wsirb.wa.gov

There are fees associated with submissions to WSIRB. Work with the data manager(s) for the agency(ies) prior to submission to WSIRB.
Not research, no human subjects or exempt

Determination to PI

Full WSIRB Review

Full Board protocol assigned to Primary Reviewer

Reviewer(s):
- review protocol
- request info from PI
- prepare WSIRB Review Worksheet, checklists
- schedule conference call
- send worksheets and checklists to HRRS before conferring

Expeditied Review

Expeditied protocol assigned to Primary Reviewer
Questions?
Contact Us

WADOC RDA
Phone: 360.725.8677
Email: docrda@doc1.wa.gov

WSIRB
Phone: 360.902.8075
Email: wsirb@dshs.wa.gov